

**Request For
Pre-Qualification
No. 902232**

for the

Countywide Cabling Services

November 4, 2022

**ALAMEDA COUNTY
GENERAL SERVICES AGENCY
BUILDING MAINTENANCE DEPARTMENT
2054 FAIRMONT DRIVE
SAN LEANDRO, CALIFORNIA 94578
PHONE: 510-268-5396**



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ATTACHMENTS:

Attachment 1: Cabling Requirements

- Exhibit A: Alameda County Infrastructure Cabling Specifications
- Exhibit B: Sample Supplemental Questionnaire
- Exhibit C: Sample Certificate of Insurance Requirements
- Exhibit D: Sample Cabling Request Form
- Exhibit E: Sample Job List Status Report

Attachment 2: Document 00 22 19 Enhanced Construction Outreach Program (ECOP)

Attachment 3: Project Stabilization/Community Benefit Agreement Documents:

- Document 00 73 49 Project Stabilization/Community Benefit Agreement of The County of Alameda and California prevailing Wage Requirements
- Document 00 73 49A PSCBA Letter of Assent
- Document 00 73 49B County of Alameda County-wide Project Stabilization/Community Benefits Agreement

SECTION 1

NOTICE OF REQUEST FOR PRE- QUALIFICATION OF CONTRACTORS

SECTION 1

NOTICE OF REQUEST FOR PRE-QUALIFICATION OF CONTRACTORS

Notice is hereby given that The County of Alameda ("County") General Services Agency ("GSA") will receive Pre-qualifications for the following Contract:

Countywide Cabling Services

("Project" or "Contract").

The County's competitive selection process will proceed in two steps as follows:

Step 1: The Construction Contractor Pre-Qualification phase.

Step 2: County departments will request bids from the Contractors ("Contractors") that are successfully Pre-qualified in Step 1 to participate in Step 2. County departments will issue construction contracts for each of these projects.

GENERAL DESCRIPTION OF THE CONTRACT

The County is seeking contractors who can purchase, install, modify and service data communications cabling. A full range of cable installation services is required and will be requested from the prequalified Contractors. Services to the County will include cable infrastructure design and technology consultation. Data communications cabling will consist primarily of inside horizontal station cable, fiber optic backbone-data cable, and copper voice backbone cable. There will be some need for outside installation and repair of fiber backbone and copper distribution cable. There will also be some need to install County provided, preconfigured Wireless Access Points (WAP). This contract will apply to existing County owned buildings and leased buildings in most cases depending on the site and the project. (Refer Attachment 1 for specific cabling requirements and additional information)

The County currently has an average of 10 to 20 cabling requests per month with a value of \$500 to \$20,000, with the average cost being around \$2,500.

Pre-qualification approval will remain valid for one (1) calendar year from the date of notice of qualification, except that the County reserves the right during that calendar year to adjust, increase, limit, suspend or rescind the pre-qualification ratings based on subsequently learned information and after giving notice of the proposed action to the Contractor and an opportunity for a hearing consistent with the hearing procedures described below for appealing a pre-qualification determination.

While it is the intent of the pre-qualification questionnaire and documents required therewith to assist the County in determining bidder responsibility prior to the submission of bids and to aid

County in selecting the lowest responsible bidder, neither the fact of pre-qualification, nor any pre-qualification rating, will preclude the County from a post-bid consideration and determination on a specific project of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

GENERAL STATEMENT OF PREQUALIFICATION CRITERIA AND PRIORITIES

The County is particularly interested in Contractors with the following qualifications:

- Experience with public sector cable installation projects of various sizes and complexity which may involve minor demolition, repair, modification and maintenance of existing buildings and infrastructure located within Alameda County.
- Experience with managing multiple construction crews in various types of public facilities including correctional facilities.
- Ability to provide a workforce that will pass the on-site security requirements for working in the County's correction facilities. This includes compliance with correction facility construction security procedures and work restrictions.
- Ability to perform to the required construction completion goals for the County.

STEP 1 - PRE-QUALIFICATION PROCESS

The following is the anticipated schedule of events and actions for this prequalification process:

Event	Date/Location
Advertise/Issue Request for Pre-Qualification Submittals	November 4, 2022
Voluntary Pre-Submittal Conference	November 21, 2022, at 10:00 a.m. <i>Location: Online only via Microsoft Teams</i> Click here to join the meeting Meeting ID: 244 046 436 513 Passcode: cchqiz Or call in (audio only): +1 415-915-3950 Phone Conference ID: 480 127 058#
Pre-Submittal Conference Attendee List Issued	November 28, 2022
Written Questions Due	November 28, 2022, by 5:00 p.m.
GSA Response to Written Questions Issued	December 2, 2022
Submission Deadline for Pre-Qualification Documents	December 12, 2022, by 2:00 p.m.
Notification of Pre-Qualified General Contractors	December 28, 2022

Note: the above dates are approximate.

MINIMUM BONDING REQUIREMENTS

The minimum bonding capacity must equal or exceed the if the construction budget exceeds \$25,000. Prospective Contractors that cannot provide bonding at that amount will not be considered qualified even though they may be qualified otherwise.

LICENSE REQUIREMENTS

Contractors must possess the following State of California Contractors Licenses: **C-10 Electrical OR C-7 Low Voltage Contractors License**

The Contractor's license(s) must remain active and in good standing.

NOTICE OF PRE-QUALIFICATION REQUIREMENTS

Notice is hereby given that the County has determined that all bidders on the Contract must be pre-qualified prior to submitting a bid. It is mandatory that all Contractors, who intend to submit a bid, fully complete the Pre-Qualification Questionnaire Document, and provide all materials requested herein and be approved by the County to be on the final qualified Bidders list. No bid will be accepted from a Contractor that has failed to comply with these requirements. If two or more business entities submit a bid as part of a Joint Venture, or expect to submit a bid as part of a Joint Venture, each entity within the Joint Venture must be separately qualified to bid.

Answers to questions contained in the Pre-Qualification Questionnaire, information about current bonding capacity, notarized statement from surety, and the most recent reviewed or audited financial statements (Profit & Loss Statement and Balance Sheet), with accompanying notes and supplemental information, are required. The County will use these documents as the basis of rating Contractors. The County reserves the right to check other sources available. The County's decision will be based on objective evaluation criteria.

The intent of the Pre-Qualification Questionnaire and supporting information required is to assist the County in determining bidder responsibility prior to submission of bids. Neither the fact of pre-qualification, nor any pre-qualification rating, will preclude the County from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

A Contractor will not be considered as qualified for bidding on this Contract until the Contractor has met the requirements of the County. In addition, a Contractor may not be considered qualified for either: (1) omission of requested information, or (2) falsification of information.

IMPORTANT PROVISIONS OF THE PUBLIC CONTRACT CODE RELATED TO PRE-QUALIFICATION

In 1999, the California Legislature enacted a law that allows many public agencies, including Alameda County, to require licensed Contractors that wish to bid for public works jobs to "pre-

qualify” for the right to bid on a specific public work project, or on projects undertaken by a public agency during a specified period of time. Public Contract Code Section 20101 has the relevant provisions.

The County of Alameda has chosen to adopt the pre-qualification process described in Public Contract Code Section 20101 for this Project. Section 20101 requires every public agency that creates a pre-qualification procedure to: (1) use a standardized questionnaire and financial statement in a form specified by the public entity, (2) adopt and apply a uniform system of rating bidders on objective criteria, on the basis of the completed questionnaire and financial statements, and (3) create an appeals procedure, by which a Contractor that is denied pre-qualification may seek a reversal of that determination.

PUBLIC RECORD / CONFIDENTIALITY

The Pre-Qualification Documents (questionnaire answers and financial statements) submitted by Contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification, or investigation of substantial allegations, or in an appeal hearing. State law requires that the names of Contractors applying for pre-qualification status shall be public records subject to disclosure; the Contact Information page of the questionnaire will be used for that purpose.

ENHANCED CONSTRUCTION OUTREACH PROGRAM (ECOP)

All Pre-Qualified Contractors will be required to accept and acknowledge that they will meet the County’s Enhanced Construction Outreach Program (“ECOP”) goals and requirements for projects with a construction value of \$125,000 or more. Please see Attachment 2.

The ECOP includes:

- The requirement that Contractors meet or show good faith efforts (“GFE”) to meet a contract participation goal of 60% to be awarded to local businesses and 20% to certified small local business enterprises (“SBE”), which may include participation of the Contractor;
- The requirement that Contractors meet or show GFE to meet a sub-contractor or sub-consultant participation goal of 15% Minority-owned Business Enterprise (“MBE”) and 5% Women-owned Business Enterprise (“WBE”) sub-contractor participation;
- For purposes of the ECOP, an SBE is as defined using the current State definition of a small business, which is a business that:
 - Must be independently owned and operated;
 - Cannot be dominant in its field of operation;
 - Must have its principal office located in California;
 - Must have its owners (or officers in the case of a corporation) domiciled in California; and together with its affiliates, be either:

- A business with 100 or fewer employees with \$14 million or less in average gross annual revenue over the past three years, or
 - A manufacturer with 100 or fewer employees.
- Strong encouragement to bidders to contract with businesses that can demonstrate the ability and willingness to provide jobs required to complete County construction projects to local apprentices, youth, unemployed and under-employed County residents.

PROJECT STABILIZATION/COMMUNITY BENEFITS AGREEMENT (PSCBA)

Work performed with a construction value of one million (\$1,000,000) or more, will be subject to the requirements of the "Project Stabilization/ Community Benefits Agreement for the County of Alameda" ("PSCBA"), as described in the documents in Attachment 3. In consideration of the award of a Contract to perform the Work, the Contractor will agree to be party to and bound by the PSCBA. Pre-Qualified Contractors who submit a bid over \$1,000,000 will be required to accept and acknowledge that they will meet the PSCBA requirements and goals as they relate to the construction of the Project and agree to execute the PSCBA Letter of Assent and shall require all of their Subcontractors, of whatever tier, to be similarly bound for all Work within the scope of this Contract by signing an identical Letter of Assent.

COUNTY OF ALAMEDA RIGHTS

The County reserves the right to waive minor irregularities and omissions in the information contained in the pre-qualification application submitted, to make all final determinations, and to determine at any time that the pre-qualification procedures will not be applied to a specific future public works project.

The County may refuse to grant pre-qualification where the requested information and materials are not provided, or not provided by the date specified above. There is no appeal from a refusal for an incomplete or late application. The closing date and time for pre-qualification submittals will not be changed in order to accommodate supplementation of incomplete submissions, or late submissions.

The County reserves the right to accept pre-qualification applications despite minor irregularities and omissions in the information that would otherwise serve to disqualify a Contractor. The County reserves the right to make all final pre-qualification determinations and to determine at any time that the pre-qualification procedures will not be applied to the Project.

The County reserves the right to adjust, increase, limit, suspend or rescind the pre-qualification rating based on subsequently learned information. Contractors whose rating changes sufficient to disqualify them will be notified and given an opportunity for a hearing consistent with the hearing procedures described for appealing a pre-qualification rating.

PROTEST PROCESS

Where the scoring of a timely submitted and complete Pre-Qualification Document results in a rating below that necessary to pre-qualify, a protest can be made by a non-qualifying

Contractor. Upon request, the County will provide the non-qualifying Contractor, in writing, the basis for its disqualification and any copies of supporting evidence that has been received from others or adduced as a result of the County's own investigation.

Please see the following link for the County of Alameda policy on the Bid Protest/Appeals process:

www.acgov.org/gsa/departments/purchasing/policy/bidappeal.htm

PRE-QUALIFICATION POINT OF CONTACT

Any questions regarding the Project and the Pre-Qualification process should be in writing and directed to:

Ramon Davis, Administrative Specialist II
General Services Agency-Building Maintenance Department
County of Alameda
2054 Fairmont Drive
San Leandro, CA 94578
Phone: 510.268.5396
Email: Ramon.Davis@acgov.org

<p>NOTE: The date by which clarifying questions on the Pre-Qualification Questionnaire must be submitted is <u>November 28, 2022, by 5:00 p.m.</u> No further questions regarding the Pre-Qualification Questionnaire or required documentation will be answered after that date and time.</p>
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The County reserves the right to extend the deadline for submittal of prequalification questionnaires. It will do so through an addendum sent by email to all parties that requested a pre-qualification questionnaire.

SECTION 2

INTRODUCTION AND OVERVIEW OF THE PRE-QUALIFICATION PROCESS

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INTRODUCTION AND OVERVIEW OF THE PRE-QUALIFICATION PROCESS

SUBMISSION REQUIREMENTS, DATE AND DELIVERY

Each Pre-Qualification Questionnaire Document must be signed under penalty of perjury in the manner designated on the certification page, by an individual who has the legal authority to bind the Contractor on whose behalf that person is signing.

If any information provided by a Contractor becomes inaccurate, the Contractor must immediately notify the County and provide updated accurate information in writing, under penalty of perjury.

The due date for the submission of the fully completed Pre-Qualification Document Package is:

2:00 PM Pacific Time on December 12, 2022

A complete submission must be comprised of a digital copy (PDF format) on or Solid-state memory stick, and one (1) completed, signed, printed hard copy originals of all required documents.

The Pre-Qualification Document Package must be submitted in a sealed envelope and marked "CONFIDENTIAL", to Building Maintenance Department, Attention: Ramon Davis, GSA-BMD, County of Alameda, 2054 Fairmont Drive, San Leandro, CA 94578. The submitted Pre-Qualification Document Package will be stamped upon receipt with an official date and time of receipt.

SCORING APPROACH

The rating of the Contractor will result from consideration of the scores attained in parts II, III and IV of the questionnaire. The successfully qualified General Contractor must achieve a passing score in all three parts of the questionnaire. The scores achieved for the three scored parts are not aggregated to a "total score" to achieve a pass-fail rating. Rather, each part is stand-alone and must be passed sequentially. The Scoring Worksheet for all parts of this document can be found at the last section of this document.

PART I: INFORMATION ABOUT THE CONTRACTOR

This part applies to the Contractor and is for identification purposes only. There is no scoring value to Part I. The first two pages of this part are the only public information portion of the

Pre-Qualification Questionnaire Document (specifically the “Lead Person or Firm-Contact Information section and questions 1a. through 1g).

PART II: ESSENTIAL REQUIREMENTS FOR QUALIFICATION OF THE CONTRACTOR

This part applies to the Contractor and is a series of yes/no questions. All questions must be answered correctly, or the Contractor will be disqualified. If the Contractor correctly responds to each of these questions, its consideration of qualifications will advance to Part III.

If the Contractor is unable to correctly respond to each of these questions, they will be disqualified regardless of the results of the scored questions.

PART III: SCORED QUESTIONS FOR THE CONTRACTOR

This part is comprised of the qualifications and experiences that the Contractor should possess. A series of questions that must be answered by the Contractor, each question has a pre-assigned score value. The total score attained establishes the rating for the Contractor.

For passing through this part, the Contractor must be able to answer the questions so that the aggregate score for all questions in this part is **equal to or greater than 65 points of the possible maximum score of 130**. If the Contractor passes Part III, consideration of its qualifications will proceed to Part IV.

PART IV: RECENT CONSTRUCTION PROJECTS COMPLETED

This part is comprised of a series of questions relating to the Contractor's performance on three (3) completed projects of similar quality, size, scope and cost. These three (3) projects will involve a short set of reference questions.

PART V: REFERENCE QUESTIONS

The identified owner's representative for each project in Part IV above, will be contacted by the County's staff and will be asked 17 questions, of which 16 questions have a score value range of 1 to 10. The Contractor must be given a score value for each question so that the aggregate score for all questions in this part is **equal to or greater than 85 points of the possible maximum score of 170**. If the Contractor passes Part IV, after having also passed Parts II and III, it is considered qualified.

PRE-QUALIFICATION DOCUMENT: REVIEW AND NOTIFICATION PROCESS

The completed Pre-Qualification Document must be submitted by the deadline specified above. Once received, the review and notification process will be as follows:

1. The entire Pre-Qualification Package will be date-stamped upon receipt.
2. The Pre-Qualification Document will be reviewed for completeness by County staff **within three (3) working days from the submission deadline date**. The

- Contractor will be notified as to completeness. If the Pre-Qualification Document is not deemed complete, upon notification by County Staff the Contractor will have **two (2) working days to add whatever information is needed to make the Document complete**. If the Pre-Qualification Document is complete, it will proceed to be reviewed and scored.
3. The completed Pre-Qualification Document will be reviewed by County staff **within ten (10) working days from the submission deadline date**.
 4. The Contractor will be notified of their qualification rating by regular mail or via electronic mail (email) **within ten (10) working days from the submission deadline date**.

If the Contractor is rated as "Qualified" for this Contract, they will be eligible for bidding on the Project and will be notified of the opportunity to submit a Bid for the Project.

If the Contractor is rated as "Non-Qualified" for this Contract, they may initiate a protest process as described in the Notification of Pre-Qualification Requirements section.

SECTION 3

PRE-QUALIFICATION QUESTIONNAIRE

SECTION 3

PREQUALIFICATION QUESTIONNAIRE

PART I: INFORMATION ABOUT THE CONTRACTOR

Lead Person or Firm – Contact Information

If Contractor is a sole proprietor or partnership:

Owner(s) of _____

Contractor: _____

Contact: _____

Address: _____

Phone: _____ Fax: _____ Email: _____

Type of Entity (check one):

☐ Corporation ☐ Partnership ☐ Sole Proprietor ☐ Joint Venture ☐ Other

Member Firm(s)			
Discipline	Name	License	Department of Industrial Relations Registration No.
General Contractor			

INFORMATION ABOUT THE GENERAL CONTRACTOR

- 1a. Date of formation or incorporation: _____
- 1b. State of formation or incorporation: _____
How many persons does your organization currently
- 1c. employ: _____
- 1d. If your organization is a corporation, please complete the following table. Provide information for each officer of the corporation or individual(s) with 20% or more of the corporate stock.

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

- 1e. If your organization is a partnership, please complete the following table. Provide information for each partner who owns 20% or more of the firm.

Position	Name	Years with Co.	% Ownership
President			
Vice-President			
Secretary			
Treasurer			

- 1f. If your organization is a sole proprietorship, please complete the following table. Provide information for each member of the proprietorship.

Owner	Years as Owner

- 1g. If your organization is a joint venture, please complete the following table. Provide information for each member of the joint venture.

Joint Venture Member #1

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #2

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

Joint Venture Member #3

Name of Company	Name of Owner/Partner or President	Years with Co.	% Ownership of Joint Venture

- 1h. For each person listed in table 1d, 1e, 1f, or 1g, identify every construction company that the person has been employed with at any time **during the last five years:**

[illegible]

2. Has there been any change in ownership of the Contractor at any time **during the last three years**? If so, note each change below:

NOTE: A corporation whose shares are publicly traded is not required to answer this question.

☐ Yes ☐ No

If “yes,” explain:

3. Is the Contractor a subsidiary, parent, holding company or affiliate of another construction firm?

NOTE: Include information about other firms if one firm owns 50 per cent (50%) or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

4. Are any of the Contractor's corporate officers, partners or owners connected to any other construction companies?

NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If "yes," explain:

5. State the Contractor Firm's gross revenues for each of **the last three years**:

20__:

20__:

20__:

6. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by the Contractor Firm:

Contractor License	Classification	Expiration Date

7. If any of the Contractor's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individuals(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

Contractor License Number	Name of Qualifying Individual

8. Has the Contractor Firm changed names or license number **in the past five years?**

☐ Yes ☐ No

If "yes," explain:

9. Has any owner, partner or (for corporations) officer of the Contractor operated a construction company under any other name **in the last five years?**

☐ Yes ☐ No

If "yes," explain:

10. Bonding Information: Provide documentation from your surety identifying the following:

Bonding Co./Surety:		Surety Agent:	
Agent Address:		Telephone #:	

11. List all other sureties (name and full address) that have written bonds for your firm **during the last five years**, including the dates during which each wrote the bonds:

Date	Surety	Address

12. Has the Contractor or any of its owners, officers or partners ever been debarred, suspended, or otherwise excluded by the United States Government in compliance with the requirements of 7 Code of Federal Regulations (CFR) 3016.35, 28 CFR 66.35, 29 CFR 97.35, 34 CFR 80.35, 45 CFR 92.35 and Executive Order 12549?

☐ Yes ☐ No

If "yes," explain:

PART II: ESSENTIAL REQUIREMENTS FOR QUALIFICATION OF THE CONTRACTOR

NOTE: Contractor will be automatically disqualified if the answer to any of questions 1 through 6 is “no”.

1. Does the Contractor possess a valid, current California contractor's C-7 or C-10 license for the Contract for which it intends to submit a bid?

☐ Yes ☐ No

2. Does the Contractor have a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 annual general aggregate?

☐ Yes ☐ No

3. Does the Contractor have current workers' compensation insurance policies as required by the Labor Code or is it legally self-insured pursuant to Labor Code section 3700 et. seq.?

☐ Yes ☐ No

4. Has the Contractor attached the latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information?

☐ Yes ☐ No

5. Is your firm registered with the State Department of Industrial Relations pursuant to Labor Code section 1725.5?

☐ Yes ☐ No

The County has entered into a Countywide Project Labor Agreement, specifically the “Project Stabilization/ Community Benefits Agreement for the County of Alameda”

6. (“PSCBA”), that binds all contractors and subcontractors performing work to use a skilled and trained workforce. A copy of the agreement is attached hereto as Attachment 3. Do you agree to be bound by that project labor agreement for individual Job Orders over \$1,000,000 and to use a skilled and trained workforce in accordance with Public Contract Code Sections 2600 through 2603 for individual Job Orders under \$1,000,000?

☐ Yes ☐ No

NOTE: Contractor will be automatically disqualified if the answer to any of questions 7 thru 15 is “yes”.

7. Has the Contractor's license been revoked or suspended at any time **in the last five (5) years?**
- ☐ Yes ☐ No
8. Has a surety firm completed a contract on behalf of the Contractor, or paid for completion because the firm was default terminated by the project owner **within the last five (5) years?**
- ☐ Yes ☐ No
9. Was the Contractor or any party to the Joint Venture in bankruptcy **at any time during the last five years?**
- ☐ Yes ☐ No
10. **Within the last five years** has there ever been a period when the Contractor had employees but was without workers' compensation insurance or state-approved self-insurance?
- ☐ Yes ☐ No
11. At the time of submitting this pre-qualification form, is the Contractor ineligible to bid on or be awarded or perform as a subcontractor a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
- ☐ Yes ☐ No
12. At any time **during the last five years**, has the Contractor or any of its owners or officers been convicted of a crime involving the awarding of a contract on a government construction project, or the bidding or performance of a government contract?
- ☐ Yes ☐ No
13. Has the Contractor or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
- ☐ Yes ☐ No
14. Has the Contractor or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?
- ☐ Yes ☐ No

15. Has the Contractor or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?

☐ **Yes** ☐ **No**

PART III: SCORED QUESTIONS FOR THE CONTRATOR

1. How many years has the Contractor been in business in California as a General Contractor under its present business name and license number?

NOTE: If Contractor is a Joint Venture, add years for each partner to the Joint Venture and enter the average of combined experience.

Years: __

2. At any time **in the last five years** has the Contractor or any party to the Joint Venture been assessed and paid liquidated damages of more than \$50,000 after completion of a project under a construction contract with either a public or private owner?

☐ Yes ☐ No

If yes, identify all such projects by owner, owner's address, completion date of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

Owner:		Address:	
Completed:		Amount:	
Other:			
Owner:		Address:	
Completed:		Amount:	
Other:			

3. **In the last five years** has the Contractor or any firm with which any of the Contractor's owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

☐ **Yes** ☐ **No**

If "yes," identify the company, the person within the Contractor who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

Company:				Person:			
Year:		Owner:		Project:			
Basis:							
Company:				Person:			
Year:		Owner:		Project:			
Basis:							
Company:				Person:			
Year:		Owner:		Project:			
Basis:							

4. **In the last five years** has the Contractor been denied an award of a public works contract based on a finding by a public agency that the Contractor was not a responsible bidder?

☐ Yes ☐ No

If “yes,” identify the year of the event, the owner, the project and the basis for the finding by the public agency.

Year:		Owner:		Project:	
Basis:					
Year:		Owner:		Project:	
Basis:					
Year:		Owner:		Project:	
Basis:					

NOTE: The following two questions refer only to disputes between the Contractor and the owner of a project. You need not include information about disputes between the Contractor and a supplier, another contractor, or sub-contractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner.

5. **In the past five years** has any claim in excess of \$50,000 been filed in court or arbitration against the Contractor concerning its work on a construction project?

☐ Yes ☐ No

If "yes," identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			
Project:		Date:	
Claimant:		Court:	
Nature:			
Description:			
Project:		Date:	
Claimant:		Court:	
Nature:			

Description:

6. **In the past five years** has the Contractor made any claim in excess of \$50,000 against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?

☐ Yes ☐ No

If “yes,” identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

Project:		Date:	
Entity:		Defendant:	
Description:			
Project:		Date:	
Entity:		Defendant:	
Description:			
Project:		Date:	
Entity:		Defendant:	
Description:			

7. Has the Contractor carefully read the attached Document 00 22 19 and is the Contractor fully committed to the County's Enhanced Construction Outreach Program (ECOP) and required good faith efforts for projects over \$125,000? *This is included as Attachment 2 and additional copies can be made available at Pre-Qualifications submittal conference.*

☐ Yes ☐ No

If "yes," provide an acknowledgement of the County's ECOP program.

Acknowledgement:

8. Has CAL OSHA cited and assessed penalties against the Contractor for any "serious," "willful" or "repeat" violations of its safety or health regulations **in the past five years?**

NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.

☐ Yes ☐ No

If "yes," describe the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

Description:		Date:		Nature:	
Project:		Amount:			

9. Has the Federal OSHA cited and assessed penalties against the Contractor **in the past five years?**

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe each citation.

Explanation:

10. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either the Contractor or the owner of a project on which the Contractor was the builder, **in the past five years?**

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If "yes," describe each citation.

Explanation:

Explanation:

Explanation:

11. Does the Contractor have a company-wide safety plan and OSHA certified personnel dedicated to the project?

☐ Yes ☐ No

12. Does the Contractor require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

☐ Yes ☐ No

If "yes," identify how often the meetings are required.

Weekly

☐

Bi-Weekly

☐

Monthly

☐

Less Frequent

☐

13. Has the Contractor been issued an Experience Modification Rate (I) (California workers' compensation insurance) **for each of the past three premium years?**

☐ Yes ☐ No

If your EMR for any of these three years is or **was 1.00 or higher**, provide explanation.

NOTE: Score based on highest EMR in any single Year.

Current Year:		Rate:	
Explanation:			
Current Year:		Rate:	
Explanation:			
Current Year:		Rate:	
Explanation:			

14. Has there been **more than one occasion during the last five years** in which the Contractor was required to pay either back wages or penalties for the Contractor's failure to comply with the state's prevailing wage laws?

This question refers only to the prime Contractor's violation of prevailing wage laws, not to violations of the prevailing wage laws by a sub-contractor.

☐ Yes ☐ No

If "yes," describe the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

Violation:				Project:		
Completion:		Agency:				
Employees:					Amount:	

Violation:				Project:		
Completion:		Agency:				
Employees:					Amount:	

Violation:				Project:		
Completion:		Agency:				
Employees:					Amount:	

15. **During the last five years** has there been **more than one occasion** in which the Contractor has been penalized or required to pay back wages for failure to comply with the Federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If "yes," describe the nature of the violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of

employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

Violation:		Project:	
Completion:		Agency:	
Employees:		Amount:	

16. At any time **during the last five years** has the Contractor been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

You may omit reference to any incident that occurred prior to five years from the date of this pre-qualification if the violation was by a sub-contractor and the Contractor, as general contractor on a project, had no knowledge of the sub-contractor's violation at the time they occurred.

☐ Yes ☐ No

If "yes," provide the date(s) of such findings, and attach copies of the Department's final decision(s).

Date	Department	Finding	Decision #

17. Does the Contractor have experience providing cabling installation and/or maintenance projects (either as the prime or subcontractor) on public facilities?

☐ Yes ☐ No

If yes, identify projects(s):

Project	Location	Date Completed	Size, Scope, Cost

18. Identify the Project Manager who will be devoted full-time to this Contract and the projects they have managed that are of similar size and scope.

Name	Years with Firm

Project	Location	Date Completed	Budget and Size

19. Identify the Superintendent who will be devoted full-time to this Contract and the projects they have managed that are of similar size and scope.

Name	Years with Firm

Project	Location	Date Completed	Budget and Size

20. Identify the Quality Assurance/Quality Control (QA/QC) Manager (this does not have to be a different individual) who will be devoted full-time to this Project and the projects they have managed that are of similar size and scope?

Name	Years with Firm

Project	Location	Date Completed	Budget and Size

21. Does the Contractor have experience performing five or more cabling projects concurrently, each with a minimum value of \$2,500?

☐ Yes ☐ No

If yes, identify projects(s):

Project	Location	Date Completed	Budget and Size

22. Does the Contractor have a permanent or regional office within Alameda County?

☐ Yes ☐ No

If yes, identify the address of the office(s) and provide supporting documents:

Address: _____

Please provide supporting documents that demonstrate proof of the office being within Alameda County. Supporting documents may include contractor's license, business license, certification document, utility bill, rental/lease agreement or mortgage, etc.

23. Will the Contractor have permanent Project Manager or Superintendent personnel located within Alameda County for the duration of the Contract?

☐ Yes ☐ No

If yes, identify the address of the office(s) and provide supporting documents:

Address: _____

Please provide supporting documents that demonstrate proof of residency for the Project Manager or Superintendent. Supporting documents may include, driver's license, utility bill, financial statements, voter registration, vehicle registration, home related paperwork such as a rental/lease agreement or mortgage bills, and any other document that verifies they are living permanently within Alameda County.

PART IV: RECENT CONSTRUCTION PROJECTS COMPLETED AND REFERENCE QUESTIONS

Contractor shall provide information about **their three (3) most recently completed or active cabling projects** of similar size, scope and complexity. Contractors may submit projects in which they served as a subcontractor to a prime.

Names and references must be current and verifiable.

Project No. 1

Project Name: _____

Project Description/
Scope _____

Project Location: _____

Project Cost: _____

Owner: _____

Owner Contact Name: _____

Owner Contact Phone
and Email: _____

Original Value of Construction: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Project No. 2

Project Name: _____

Project Description/
Scope _____

Project Location: _____

Project Cost: _____

Owner: _____

Owner Contact Name: _____

Owner Contact Phone
and Email: _____

Original Value of Construction: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

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Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

Project No. 3

Project Name: _____

Project Description/
Scope _____

Project Location: _____

Project Cost: _____

Owner: _____

Owner Contact Name: _____

Owner Contact Phone
and Email: _____

Original Value of Construction: _____

Total Value of Construction (including change orders): _____

Original Scheduled Completion Date: _____

Time Extensions Granted (number of days): _____

Actual Date of Completion: _____

SECTION 4

REFERENCE QUESTIONS

SECTION 4

REFERENCE QUESTIONS

The following questions will be used to interview contacts selected from recently completed or active projects over the last 3 years submitted within Part IV. The County will contact the references listed. These questions are included in the package given to the Contractor for information only.

Project # _____
Contractor: _____
Project: _____
Brief Description: _____

1. Are there any outstanding stop notices, liens, or claims by the Contractor that are currently unresolved on contracts for which notices of completion were recorded **more than 120 days ago**?

☐ Yes ☐ No

If "yes," how many separate instances?

(Scoring will be as follows: 10 pts for 0 or 5 for 1 instance; 4 pts for 2 instances; 3 pts for 3 instances, 0 points for more than 3 instances.)

2. On a scale of 1-10, with 10 being the best, did the Contractor provide adequate project management for the project?

Rating: _____

3. On a scale of 1-10, with 10 being the best, did the Contractor provide adequate construction superintending or supervision for the project?

Rating: _____

4. On a scale of 1-10, with 10 being the best, was the Contractor timely in providing reports and other paperwork, including change order paperwork and scheduling updates?

Rating: _____

5. On a scale of 1-10, with 10 being the best, did the Contractor adhere to the project schedule that your agency or business approved?

Rating: ____

6. On a scale of 1-10, with 10 being the best, rate the Contractor on the timely submission of reasonable cost and time estimates to perform change order work.

Rating: ____

7. On a scale of 1-10, with 10 being the best, rate the Contractor on how well they managed project design changes and their coordination amongst their subcontractor group to the project's schedule and owner's objectives.

Rating: ____

8. On a scale of 1-10, with 10 being the best, rate how the Contractor provided required training to owner's staff and how timely they were to take care of warranty issues

Rating: ____

9. On a scale of 1-10, with 10 being the best, rate the Contractor on whether there was an unusually high number of change order requests for the project and whether or not the values and time durations requested were legitimate/accurate.

Rating: ____

10. On a scale of 1-10, with 10 being the best, rate the Contractor on their ability to respond to emergency work.

Rating: ____

11. On a scale of 1-10, with 10 being the best, rate the Contractor on their ability to perform multiple projects concurrently.

Rating: ____

12. On a scale of 1-10, with 10 being the best, rate the quality control program and overall quality of the work completed on the project.

Rating: ____

13. On a scale of 1-10, with 10 being the best, was the contractor cooperative with the owner and the architect, and how responsive and professional were they to issues or problems that would arise

Rating: ____

14. On a scale of 1-10, with 10 being the best, did the contractor try to resolve disputes in a fair and equitable manner?

Rating: _____

15. On a scale of 1-10, with 10 being the best, how satisfied were you with the overall performance and knowledge base of the contractor in performing your cabling upgrades?

Rating: _____

16. On a scale of 1-10, with 10 being the best, how satisfied were the end users or occupants with the contractor and their completed construction?

Rating: _____

17. On a scale of 1-10, with 10 being the best, how likely are you to potentially hire this contractor again for future work?

Rating: _____

SECTION 5

CERTIFICATION

SECTION 5

CERTIFICATION

I, the undersigned, on behalf of the General Contractor, certify and declare that I have read all the foregoing answers to this Pre-Qualification Document and know their contents. The matters stated in the Questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

(Printed name)

(Signature)

(Date)

SECTION 6

SCORING WORKSHEETS

SECTION 6

SCORING WORKSHEETS

The establishing of the qualification of a Contractor is determined by:

- 1) Confirming the appropriate responses to Part II: Essential Requirements do not exceed a point total point value of **zero (0)**;
- 2) Confirming minimum point total value of **65** value from responses to Part III: Scored Questionnaire and
- 3) Confirming minimum point total value of **85** from responses to all Part IV: Recent Construction Projects and Reference Questions.

The table below gives the value of each scored question for the Part II: Essential Requirements and Part III: Scored Questionnaire parts. Scoring breakdown for Reference Questions of Part IV are found above in description of Part IV process.

The Scoring Worksheets list every essential requirement and scored question. The requirements and questions are abbreviated to capture the essence of the item. The abbreviation does not change the actual requirement or question as written in the Essential Requirements and Scored Questionnaire parts of the Pre-Qualification Questionnaire. The Scoring Worksheets are provided for informational purposes only to the Contractor. They will be filled out by evaluators of the proposals.

PART II Essential Requirements For the Contractor

Question #	Requirement / Question	Yes	No	Score
	1 POINT IF ANSWER IS NO TO QUESTIONS 1-8			
1.	Does General Contractor have current California contractor's "C-10 or C-7" license? <i>Yes = 0; No = 1</i>			
2.	Does General Contractor have liability insurance? <i>Yes = 0; No = 1</i>			
3.	Does Contractor have workers' compensation insurance? <i>Yes = 0; No = 1</i>			
4.	Is General Contractor's financial statement attached? <i>Yes = 0; No = 1</i>			
5.	Is General Contractor registered with the State Department of Industrial Relations? <i>Yes = 0; No = 1</i>			

6.	Does General Contractor agree to be bound by the project labor agreement? <i>Yes = 0; No = 1</i>			
	1 POINT IF ANSWER IS YES TO QUESTIONS 7-15			
7.	Has General Contractor license been revoked in the last five years? <i>Yes = 1; No = 0</i>			
8.	Has Surety Firm completed contract on behalf of General Contractor in last five years? <i>Yes = 1; No = 0</i>			
9.	Was Contractor in bankruptcy within the last five years? <i>Yes = 1; No = 0</i>			
10.	Was Contractor without Workers' Compensation insurance within the last five years? <i>Yes = 1; No = 0</i>			
11.	Is General Contractor ineligible to bid on public works? <i>Yes = 1; No = 0</i>			
12.	Has General Contractor been convicted of crime on public contract? <i>Yes = 1; No = 0</i>			
13.	Has Contractor been found liable or guilty in a criminal or civil action? <i>Yes = 1 pt; No = 0</i>			
14.	Has General Contractor been convicted of a crime related to construction? <i>Yes = 1 pt; No = 0</i>			
15.	Has General Contractor been convicted of a fraud, theft or dishonesty? <i>Yes = 1 pt; No = 0</i>			
Total Score for Essential Requirements (Disqualified if score is greater than zero "0")				

PART III Scored Questions for the General Contractor

Question #	Requirement / Question	Yes	No	Instance	Score
1.	How many years General Contractor been in business in California? <i>1 point per year in business up to a total of 10 points</i>				
2.	How many projects has General Contractor paid liquidated damages of \$50,000 or more in last five years?				

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	<i>0 to 1 proj. = 5 pts; 2 proj. =3 pts; more than 2 = 0</i>				
3.	Has General Contractor been prevented from bidding on public works projects in last five years? <i>Yes =subtract 5 pts; No =5 pts</i>				
4.	Has General Contractor been denied award of a public works projects in last five years? <i>Yes = 0 pts; No = 5 pts</i>				
5.	Has a claim over \$50,000 been filed against General Contractor in last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances.</i>				
6.	Has General Contractor filed a claim of more than \$50,000 against owner in last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for more than 2 instances</i>				
7.	Has General Contractor read Document 00 22 19 and committed to ECOP program? <i>Yes =5; No =0</i>				
8.	Has CAL OSHA cited General Contractor for serious, willful or repeated safety violations in last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
9.	Has federal OSHA cited General Contractor for safety violations in last five years? <i>5 pts for 0 or 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
10.	Has EPA, AQMD or RWQCB assessed penalties against General Contractor? <i>5 pts for 0 to 1 instance; 3 pts for 2 instances; 0 pts for any other answer</i>				
11.	Does General Contractor have personnel assigned to safety? <i>Yes = 2; No = 0</i>				
12.	Does General Contractor require documented safety meetings? <i>Yes, weekly =1; any other answer=0</i>				

13.	Has General Contractor been issued an EMR for last three years? <i>2 pts for .95 or less; 1 pts if between .96 and 1.00; 0 pts for any other answer</i>				
14.	Has General Contractor failed to comply with state prevailing wage laws? <i>0 pts for 0 instances; deduct 2 points for each instance</i>				
15.	Has General Contractor failed to comply with federal prevailing wage laws? <i>0 pts for 0 instances; deduct 2 points for each instance</i>				
16.	Has General Contractor violated California apprentice laws? <i>0 pts for 0 instances; deduct 2 points for each instance</i>				
17.	Does General Contract have experience with cabling projects of similar size? <i>2 points per project up to a total of 10 points</i>				
18.	Number of similar sized projects delivered by Project Manager. <i>1 points per project for a total of 5 points</i>				
19.	Number of similar sized projects delivered by Superintendent. <i>1 points per project for a total of 5 points</i>				
20.	Number of similar sized projects delivered by QA/QC Manager. <i>1 points per project for a total of 5 points</i>				
21.	Does General Contractor have experience performing five or more concurrent cabling projects? <i>1 points per project for a total of 5 points</i>				
22.	Does General Contractor have an office within Alameda County? <i>Yes = 20; No = 0</i>				
23.	Will the General Contractor have a permanent Project Manager or Superintendent located within Alameda County? <i>Yes = 20; No = 0</i>				
Total for Scored Contractor Questions Minimum Qualifying Score is 65					

Summary of Scored Questions for Contractor

Section	Section Score
Part II: Essential Requirements For the Contractor (If score is greater than zero (0), Contractor is Disqualified)	
Part III. Scored Questions for the Contractor (Minimum qualifying score is 65 points out of Maximum Score of 130)	
Part IV: Recent Construction Projects and Reference Questions (Minimum qualifying score is 85 points out of Maximum Score of 170)	