

STATE OF CALIFORNIA
DEPARTMENT OF GENERAL SERVICES
REAL ESTATE SERVICES DIVISION
PROJECT MANAGEMENT AND DEVELOPMENT BRANCH

**California Highway Patrol
Quincy Replacement Facility**

Quincy, California



REQUEST FOR QUALIFICATIONS

PROJECT NO.: DGS0000002729

**REQUEST FOR QUALIFICATIONS FROM
PROSPECTIVE DESIGN-BUILD ENTITIES**

- Exhibit A – Design-build Entity’s Proposal Manager
(Application for Qualification for Design-Build Entities)
- Part A – Questionnaire
- Part B – Experience

December 8, 2022

CALIFORNIA HIGHWAY PATROL – QUINCY REPLACEMENT FACILITY
REQUEST FOR QUALIFICATIONS



Project: STATE OF CALIFORNIA
DEPARTMENT OF GENERAL SERVICES
REAL ESTATE SERVICES DIVISION
PROJECT MANAGEMENT AND DEVELOPMENT BRANCH
CHP QUINCY REPLACEMENT FACILITY
PROJECT NUMBER: DGS00000002729

To: Prospective Design-build Entities

Subject: REQUEST FOR QUALIFICATIONS TO DESIGN AND CONSTRUCT THE
CALIFORNIA HIGHWAY PATROL,
QUINCY REPLACEMENT FACILITY
QUINCY, CALIFORNIA

Design-build Entities interested in receiving the Request for Proposal for the described Project shall submit a Statement of Qualifications (hereinafter "SOQ"). The SOQ will be received by the Real Estate Services Division - Project Management and Development Branch of the Department of General Services (hereinafter the "State"). The completed SOQ package must be received by **2:00 P.M., PT on January 23 2023**, at the following address:

Department of General Services
Office of Business and Acquisition Services Attention:
Anton Snytkin, Acquisition Analyst
707 3rd Street, MS 508
West Sacramento, CA 95605

The State will request Project Proposals on the CHP Quincy Replacement Facility (hereinafter "Project") from no more than the three (3) highest scoring Design-build Entities.

DEFINITIONS

"Best Value" means a value determined by evaluation of objective criteria that may include, but not limited to experience and past performance.

"Design-build" means a project delivery process in which both the design and construction of a project are procured from a single entity.

"Design-build Entity" means a corporation, limited liability company, partnership, joint venture, or other legal Entity that is able to provide appropriately licensed contracting, architectural, and engineering services as needed pursuant to a design-build contract.

"Design-build Team" means the Design-build Entity itself and the individuals and other entities identified by the Design-build Entity as members of its team.

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The General Contractor and the Architect of Record shall not be allowed to participate in any capacity with more than one (1) Design-build Entity on this Project. There are no such participatory restrictions on other members of the Design-build Team.

Consultant firms and/or individuals who are or have been involved in the preparation of this Request for Qualifications (hereinafter “RFQ”) and/or the Request for Proposal (hereinafter “RFP”) for this State Project, **shall not be allowed to participate on any Design-build Team in any capacity.**

Each Design-build Entity shall designate one (1) individual as its Proposal Manager who will be responsible for all communications during the RFQ and RFP process. Upon receipt of this RFQ, the Design-build Entity shall complete **Exhibit A; Design-build Entity’s Proposal Manager**, attached to this Application, and email the form to:

Department of General Services
Office of Business and Acquisition Services Attention:
Anton Snytkin, Acquisition Analyst
Email: anton.snytkin@dgs.ca.gov

The Design-build Entity’s Proposal Manager shall be the single point of contact for questions, inquiries, clarifications, and correspondence during the entire RFQ and RFP process. Any substitution of Design-build Entity’s Proposal Manager for the RFQ and RFP process shall be made in writing to, and approved by, the State.

For this Project, the State is utilizing a **Best Value, Stipulated Sum**, design-build procurement method under the Public Contract Code, Article 6 of Chapter 1 of Part 2 of Division 2, commencing with Section 10187, whereby the State will establish a stipulated sum for the Design-build Agreement, currently estimated to be between **\$37,000,000 and \$42,000,000**. The actual stipulated sum will be defined in the RFP. The State will issue the RFP to no more than the three (3) highest scoring Design-build Entities who will then present their Project Proposal which shall meet or exceed all programmatic, elemental, functional and performance-based criteria requirements set forth in the RFP including for energy efficiency, recycling, indoor air quality, and alternative energy systems.

SELECT FUTURE PROPOSAL AND CONTRACT REQUIREMENTS

DVBE AND SB PARTICIPATION

The State of California acknowledges the contributions of small business and disabled veteran business enterprise to California’s economy, in part, through the Small Business (SB) Participation Program and the Disabled Veteran Business Enterprise (DVBE) Participation Program. In accordance with the Governor’s Executive Order D-37-01, the State is committed to promote and facilitate full participation of these programs. The Design-build Entity shall comply with the new Public Works Contractor Registration Law [Labor Code §1770 et seq.](#)

The State has established the DVBE participation goal for the State’s Project as a **mandatory minimum of three percent (3%) of the Contract Price** and the Small Business participation goal as a **minimum of twenty-five percent (25%) of the Contract Price.**

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The Design-build Entity will be required to prepare and submit a Small Business/DVBE Utilization Plan with a recovery component. A Small Business/DVBE Utilization Plan is a written commitment to contract with certified Small Businesses and certified DVBE's as part of the State's Project. Certification through DGS can be found by reviewing:

<https://www.caleprocure.ca.gov/>

The Design-build Entity will be required to develop a comprehensive Small Business/DVBE Utilization Plan that fully outlines the Design-build Entity's commitments and processes to meet or exceed the established Project participation goals, not simply conduct a good faith effort. The Utilization Plan shall include a recovery plan for use during the contracting process should the Design-build Entity experience difficulties meeting its participation goals.

The Design-build Entity is encouraged to utilize Small Business and DVBE participation throughout every phase of the RFQ/SOQ and RFP/Proposal processes, but, at a minimum, the successful Design-build Entity will be required to implement the requirements of the Small Business/DVBE Program **after execution of the Design-build Agreement**. The DVBE and Small Business percentage identified in the Proposal by the Design-build entity becomes an enforceable contract commitment of the contract and failure to meet the stated percentages may result in monetary fines.

The State will monitor the Design-build Entity's continued implementation and compliance of the Small Business/DVBE program goals throughout performance of the contract. In accordance with MVC 999.7 (a), \$10,000 shall be withheld from the final payment until the prime contractor complies with certification requirements as outlined in the Code.

CONTRACTOR REGISTRATION

This project is considered a public works project to Labor Code Section 1720. As such, pursuant to Sections 1725.5 and 1771.1 of the Labor Code, all contractors and subcontractors must be currently registered with the Department of Industrial Relations (DIR) in order to qualify to bid on; be listed in a bid proposal, subject to the requirements of Section 4104 of the Public Contract Code; or engage in the performance of any contract for public work. Limited exceptions for Joint Ventures and Projects with Federal Funds may apply pursuant to Section 1771.1 of the Labor Code. Registration process, fees, and related information may be obtained from the DIR website at: <http://www.dir.ca.gov/Public-Works/Contractor-Registration.html>

PREVAILING WAGE AND COMPLIANCE MONITORING

Pursuant to Labor Code Section 1774, the Contractor, and any subcontractors, regardless of tier, shall pay not less than the specified prevailing wage rates to all workers employed in the execution of the work of the Contract. Copies of the prevailing rate of per diem wages are on file at the Department of General Services, which shall be made available to all interested parties; alternatively, wage rates may be accessed at:

<http://www.dir.ca.gov/Public-Works/Prevailing-Wage.html>

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This project is also subject to compliance monitoring and enforcement by the Department of Industrial Relations. All certified payroll records must be submitted electronically to the Department of Industrial Relations. Further details will be provided in the RFP/Proposal stage of this procurement process.

SKILLED AND TRAINED WORK FORCE

As part of the SOQ submittal, the Design-build Entity will be required to prepare a commitment certifying that a skilled and trained workforce consistent with Public Contract Code, Division 2, Part 1, Chapter 2.9, Sections 2600 et. seq., will be used on the Project. See Part A – Questionnaire, Section 6.8

PROJECT INTRODUCTION AND OVERVIEW

The State of California, through the California Highway Patrol, operates facilities throughout California. The Quincy Area Office is being replaced as part of a statewide effort to address aging or inadequate CHP field offices and other facilities. The purpose of this Project is to replace and relocate the Quincy Area Office with new facilities that will provide adequate workspace, equipment, and vehicle storage for an increasing number of employees assigned to this office.

The Project area is situated in the Town of Quincy, Plumas County, with a project site approximately 5 acres located on Lee Road. The Proposed Project would develop approximately 3.8 acres within the 5-acre project parcel. Approximately 2.8 acres of the developed site would be new impervious surfaces; the remainder of the site would be unpaved, such as for landscaping or snow storage. The Proposed Project site is approximately 0.1 miles north of State Route 70 and 2 miles east of the existing Quincy Area Office, which is located at 86 West Main Street in Quincy, California.

The new facility will be built to comply with the Essential Services Act (ESA) and will include an Area Office Building of approximately 19,200 sf with an attached Carport. In addition, the project will include an Automobile Service Building (approximately 5,400 sf), a Radio Vault Building (approximately 750 sf), a Communication Tower (approximately 148 feet tall), a Property Storage Building (approximately 750 sf), and a Waste Enclosure Building (approximately 1,300 sf). The remaining facility will include a Vehicle Fueling Area (approximately 3,300 sf), Generator Yard (approximately 2,240 sf), secure and visitor parking (approximately 33,400 sf) and other ancillary site improvements. The remaining site area will consist of landscaping, fencing, snow considerations, and storm water management.

The completed Project shall meet or exceed the requirements set forth in the RFP and shall obtain a Leadership in Energy and Environmental Design (LEED™) Silver Certification or higher from the U.S. Green Building Council (USGBC).

The State has retained the services of an Architectural and Engineering consultant (Criteria Architect) to assist the State in the preparation of the RFP and administration of the Project. In addition, the State will also retain the services of a Commissioning Agent to provide building commissioning services, (this service does NOT alleviate the Design-build Entity's responsibility to provide complete start-up and commissioning of all applicable facility systems and elements).

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The State will provide and pay for all code-required inspections as well as all code-required materials testing services including on and off-site testing/observation/monitoring and reporting to meet code requirements. The Design-build Entity shall coordinate all such services with the State per RFP requirements. The Design-build Entity shall also be responsible for procurement and payment for all professional services including all oversight and/or testing as applicable by their Geotechnical Engineer of Record retained by the Design-build Entity as required by the Essential Services Building Act.

Figure 1 - Vicinity Maps – CHP Quincy Replacement Facility Project Site

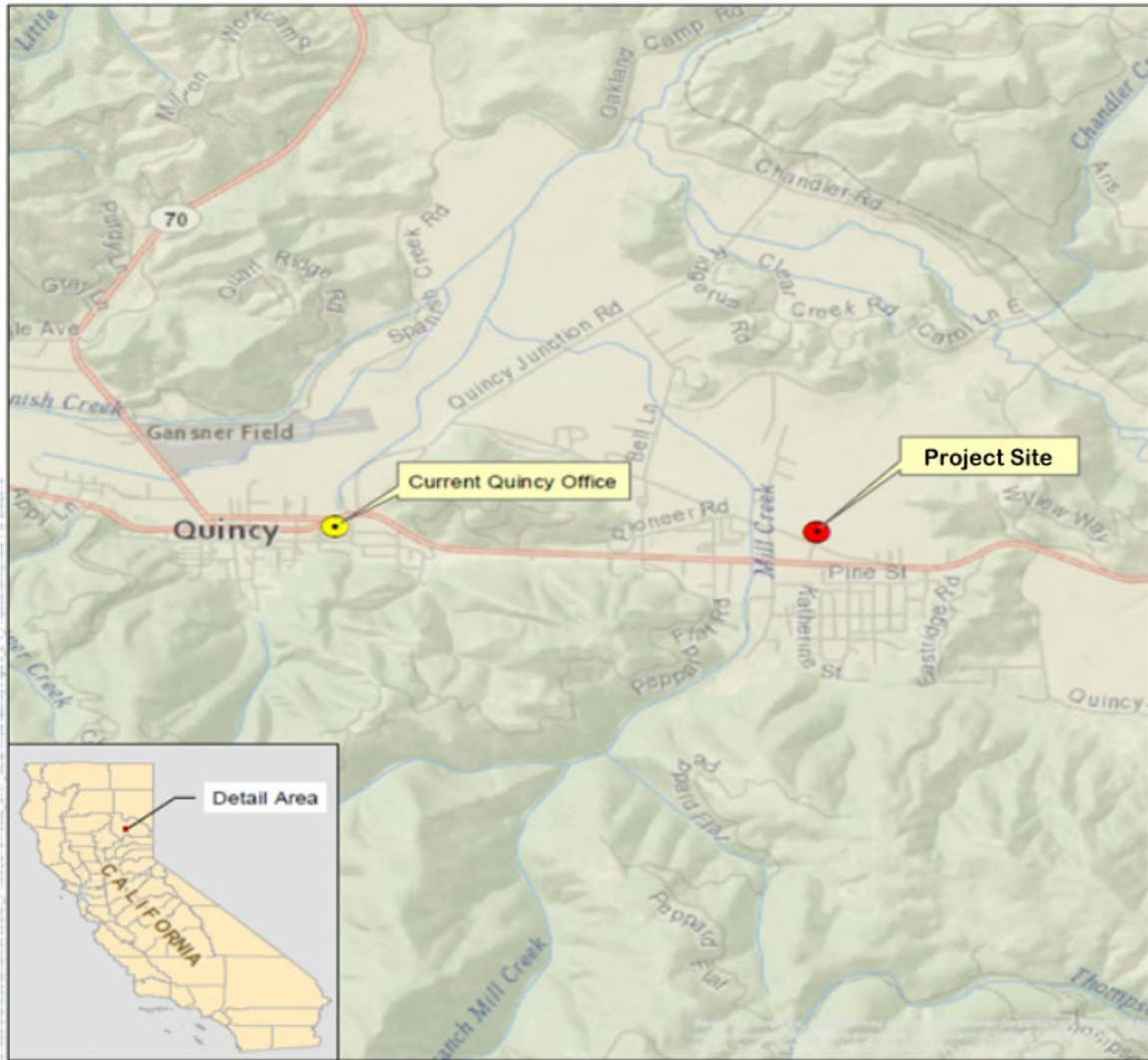


Figure 2 - Vicinity Maps – CHP Quincy Replacement Facility Project Site

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SUMMARY

The following table is a summary of the events in the RFQ and RFP process:

Step 1: RFQ Process	Due Date	Due Time
Published date:	December 8, 2022	
Mandatory RFQ Briefing Conference:	December 19, 2022	10:00 A.M. , PT
Written questions received no later than:	January 3, 2023	5:00 P.M. , PT
Distribute answers to written questions:	January 9, 2023	5:00 P.M. , PT
Submit SOQ Package (Parts A & B):	January 23, 2023	2:00 P.M. , PT
Interview notifications:	January 30, 2023	5:00 P.M. , PT
Interview (Part C) for no more than the five (5) highest scoring Entities:	January 31, 2023	TBD

Step 2: RFP Process	Approximate Date	Due Time
RFP issued to no more than the three (3) highest scoring Entities:	February 13, 2023	TBD
Mandatory RFP Briefing Conference:	February 21, 2023	TBD
Technical Meeting #1	By Proposer	TBD
Technical Meeting #2	By Proposer	TBD
Technical Meeting #3	By Proposer	TBD
Written questions received no later than:	April 10, 2023	TBD
Distribute answers to written questions:	April 17, 2023	TBD
Submit Project Proposal:	May 1, 2023	TBD
Technical Reviews Complete:	May 15, 2023	TBD
Proposal Interviews:	May 22, 2023	TBD

Step 3: Agreement Award	Approximate Date	Due Time
Selection:	Summer 2023	TBD
Agreement Execution:	Fall 2023	TBD

Step 4: Project Completion
Anticipated within 30 months of Notice to Proceed.

QUALIFICATION AND PROPOSAL PROCESS OVERVIEW

STEP 1: RFQ - The State, assisted by its consultants, will select no more than the three (3) highest scoring Design-build Teams to advance to **Step 2: RFP**. The Design-build Entities shall attend a Mandatory RFQ Briefing Conference. This conference is intended to review the RFQ/RFP process and submittal requirements, general project scope, the Essential Services Act, the State’s Small Business and Disabled Veterans Business Enterprises (DVBE) program goals and requirements, and the qualification/proposal process. Interested Design-build Entities must

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have their designated Proposal Manager in attendance at this meeting. Question cards may be distributed at the Briefing Conference so that the Design-build Entities may submit questions for the State's Project team at the conference. **Only questions submitted in writing will be responded to by the State.** The mandatory RFQ Briefing Conference will be held on:

December 19, 2022, at 10:00 A.M., PT, at:

**Ziggurat Building,
707 3rd Street, 1st Floor, Executive Dining Room
West Sacramento, CA 95605**

The RFQ process consists of four (4) parts:

- PART A : QUESTIONNAIRE
- PART B : EXPERIENCE
- PART C: INTERVIEW (No more than five (5) Design-build Entities will be interviewed)
- PART D: SELECTION

STEP 2: RFP - No more than the three (3) highest scoring Design-build Entities from the RFQ process will be issued the RFP and Project Proposal Agreement. The State intends to enter into Project Proposal Agreements with each of the Design-build Entities selected to submit Project Proposals for the design and construction of the Project. In return for submission of a Project Proposal acceptable to the State, the State will pay each Design-build Entity who is not awarded the Design-build Agreement the amount of **\$40,000** (forty thousand dollars) for their Project Proposal. In the event a Design-build Agreement is not awarded, all Design-build Entities that have submitted proposals acceptable to the State will be eligible for the \$40,000 payment. The Design-build Entity awarded the Design-build Agreement will not be eligible to receive the \$40,000. The State shall own each of the Project Proposals and all materials without limitations. **Project Proposal Agreements must be signed by the Design-build Entities and submitted at the Mandatory RFP Briefing Conference.** All Design-build Entities must attend the Mandatory RFP Briefing Conference. The exact date and time of the RFP Briefing Conference will be indicated in the RFP.

The RFP process consists of three (3) parts:

- PART A: PROJECT PROPOSAL
- PART B: PROPOSAL INTERVIEW/PRESENTATION
- PART C: SELECTION

STEP 3: Agreement Award - Upon full and complete performance and acceptance by the State of the Project Proposal Agreement, the State intends to enter into a Design-build Agreement with only one (1) of the three (3) Design-build Entities who have submitted a Project Proposal. The State reserves the right to not award a Design-build Agreement.

STEP 4: Project Completion - Completion is anticipated within thirty (30) months of the Design-build Agreement's associated Notice to Proceed.

STEP 1 – REQUEST FOR QUALIFICATIONS

The State requests interested Design-build Entities submit their SOQs for evaluation in response to the RFQ.

Design-build Team members listed in their SOQs must also be listed by the Design-build Entity in their proposals in response to the RFP. At any time during the RFQ or RFP phase should there be a potential for a change in Design-Build team members, the Design-build Entity must submit requests to substitute team members for good cause to the State in writing for approval. Design-build team member substitution requests will cause the State to re-evaluate a Design-build Entity's SOQ and may cause the State to rescind a Design-build Entity's invitation to participate in the RFP process and to offer an invitation to participate in the RFP process to another Design-build Entity.

The State does not require a specific form of contractual arrangement between the Design-build Team members, but **the Design-build Entity that proposes to become a party to the Agreement with the State must include a California licensed General Contractor.** The Design-build Entity may be required to unconditionally guarantee the due and punctual performance of any and all obligations of the Design-build Entity under the Design-build agreement.

If the Design-build Entity is a privately held corporation, Limited Liability Company, partnership, or joint venture, a listing of all of the shareholders, partners, or members who will perform work on the project known at the time of submission shall be provided. In addition, if the proposed Design-build Entity is a corporation, Limited Liability Company, partnership, joint venture, or other legal Entity, a copy of the organization documents or agreement committing to form the organization shall be submitted with the SOQ. The information provided shall be certified under penalty of perjury by the Design-build Entity and its general partners or joint venture members.

The evaluation criteria that will be employed by the State to qualify prospective Design-build Entities shall consist of four (4) RFQ process parts as listed above.

All prospective Design-build Entities must complete the PART A - QUESTIONNAIRE set forth in this Application for Qualification. Design-build Entities not completing and passing the PART A – QUESTIONNAIRE, will not be evaluated under PART B – EXPERIENCE, and their SOQ will not be further evaluated. No more than the five (5) highest scoring Design-build Entities, based on the passing/scoring of Part A and the scoring of Part B, will be invited to participate in PART C – INTERVIEW to clarify and further evaluate the Design-build Entities' experience and qualifications. No more than the three (3) highest scoring Design-build Entities will then be issued the RFP.

Questions - Questions arising during the RFQ process must be submitted in writing by mail or email to:

Department of General Services
Real Estate Division – Project Management and Development Branch
Attention: Michele Leong, Project Director II
707 3rd Street, 4th Floor

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West Sacramento, CA 95605
Email: michele.leong@dgs.ca.gov

Please no telephone calls.

Submit written questions no later than 5:00 P.M., PT, **January 3, 2023**. Questions received after this time will not be considered. Copies of all the questions and answers will be distributed by **January 9, 2023**, in a written clarification document to all Design-build Entities that have officially requested the RFQ and that have attended the Mandatory RFQ Briefing Conference.

Except as outlined above, prospective Design-build Entities or anyone receiving the RFQ, shall not contact, discuss with, or inquire of any State consultant, employee or elected official on any matter relating to this solicitation process. This requirement is to ensure that identical information and no inconsistent, incomplete, or inaccurate information are communicated. Information obtained outside this qualification process cannot be relied upon as accurate.

The completed SOQ package shall include one (1) original paper version marked “ORIGINAL” in the upper right-hand corner of the front cover; three (3) additional hard copies securely bound, each marked “COPY” in the upper right-hand corner of the cover; and one (1) electronic file of the “COPY” version on flash drive in Adobe PDF format. Please be sure copies of the signed documents are included in the additional copies provided. No fax copies will be accepted.

The SOQ package must be received by:

Department of General Services
Office of Business and Acquisition Service Attention:
Anton Snytkin, Acquisition Analyst
707 3rd Street, MS 508
West Sacramento, CA 95605

2:00 P.M., PT on Monday, January 23, 2023

Hand Delivered Submittals:

Hand delivered SOQs will be received by appointment before 2:00 p.m. on January 23rd, starting at 12:00 p.m. If hand delivering the SOQ, please coordinate the delivery time with Acquisition Analyst, Anton Snytkin, at anton.snytkin@dgs.ca.gov.

Submittals received after the specified time and date will not be considered and will be returned unopened to the sender. **THIS SUBMISSION DEADLINE WILL BE STRICTLY ENFORCED.**

PART A – QUESTIONNAIRE

Design-build Entities must complete and submit qualifications as described in the attached PART A – Questionnaire. **A total of 150 points apply to PART A.** The SOQ Evaluation Team will evaluate and score Part A – Questionnaire for completeness; conformance to project requirements; history of violations, claims, arbitration and/or litigation; and other requirements

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including:

1. **Declaration:** The Design-build Entity shall declare that the information provided in Part A – Questionnaire and Part B – Experience has been prepared using reasonable diligence and is true and complete to the best of the signer’s knowledge. A person who certifies as true any material matter that he or she knows to be false is guilty of a misdemeanor and shall be punished by not more than one year in a county jail, by a fine of not more than five thousand dollars (\$5,000), or by both the fine and imprisonment.

If the requested declaration is not provided, then the Design-build Entity will receive a score of **Fail** for this question.

2. **Design-build Team:** Identify firms proposed by the Design-build Entity. Each responding Design-build Entity shall submit qualifications for an Architect and the General Contractor, each appropriately licensed in California, as well as a Mechanical Engineer, Structural Engineer, Electrical Engineer, Civil Engineer, Landscape Architect, Geotechnical Engineer, Warm-Air Heating, Ventilation & Air Conditioning (HVAC) Subcontractor, Electrical Subcontractor, (all of which shall be appropriately licensed in California) and Security Electronics consultant. These firms are required to be identified as part of the Design-build Team and shall serve as the Project’s architect/engineer/designers of record for their particular discipline(s).

If the information requested for the Design-build Entity, the Architect, General Contractor, Mechanical Engineer, Structural Engineer, Electrical Engineer, Civil Engineer, Landscape Architect, Geotechnical Engineer, HVAC Subcontractor, Electrical Subcontractor and Security Electronics Consultant are not provided, then the Design-build Entity will receive a score of **Fail** for this question.

In addition, the Design-build Entity may submit qualifications of other firms that bring special qualifications to their teams for items such as automotive equipment, fueling systems, low voltage/communications, communication towers, energy efficiency/sustainable (LEED™) or any other notable design consultant(s), each appropriately licensed in California. These or combinations of the above disciplines may be provided by a single firm or by several firms. These firms elected to be identified as part of the Design-build Team shall serve as the Project’s engineers/designers of record for their particular discipline(s). Points will be allocated for the other firms provided by the Design-build Entity in Part B - Experience.

3. **Licensure:** Submission of evidence demonstrating possession of all required licenses, registration, and credentials that are required to design and construct the Project.

If the license information requested for Architect, General Contractor, Mechanical Engineer, Structural Engineer, Electrical Engineer, Civil Engineer, Landscape Architect, Geotechnical Engineer, HVAC Subcontractor, and Electrical Subcontractor is not provided, or any of them do not possess current California licenses, then the Design-build Entity will receive a score of **Fail** for this question.

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4. **Financial Information:** Submission of evidence, including financial statements, that establishes that the Design-build Entity has the capacity to perform the work under the Project and obtain all required payment and performance bonding.

If the Design-build Entity does not demonstrate the financial capacity to perform the work or provide the requested statement from a bonding company, then the Design-build Entity will receive a score of **Fail** for this question.

5. **Insurance:** Submission of evidence that establishes that the Design-build Entity has the capacity to obtain payment and performance bonds, liability insurance, worker's compensation insurance, errors and omissions insurance, and other insurance requirements as identified in Part A – Questionnaire.

If the Design-build Entity does not provide the requested declaration that the Design-build Entity can obtain the requested insurance, then the Design-build Entity will receive a score of **Fail** for this question.

6. **Termination/Failure to Complete; Violations; Claims, Arbitration, Litigation And Other Declarations:**

Submission of declarations, information and details concerning all of the following:

- 6.1 Declaration certifying that applying members of the Design-build Entity have not had a surety company finish work on any project within the last five (5) years.

If the requested declaration is not provided, then the Design-build Entity will receive a score of **Fail** for this question.

- 6.2 Any construction or design claim or litigation totaling more than five hundred thousand dollars (\$500,000) or five (5) percent of the annual value of work performed, whichever is less, settled against any member of the Design-build Entity within the last five (5) years.

If the requested information is not provided, then the Design-build Entity will receive a score of **Fail** for this question. (A maximum of 10 points will be awarded based upon the Design-build Entity's response.)

- 6.3 Serious violations of the Occupational Safety and Health Act, as provided in Part 1 (commencing with Section 6300) of Division 5 of the Labor Code, settled against any member of the Design-build Entity.

If the requested information is not provided, then the Design-build Entity will receive a score of **Fail** for this question. (A maximum of 10 points will be awarded based upon the Design-build Entity's response.)

- 6.4 Any violations of federal or state law, including, but not limited to, those laws governing the payment of wages, benefits, or personal income tax withholding, or of Federal Insurance Contributions Act (FICA) withholding requirements, state disability

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insurance withholding, or unemployment insurance payment requirements, settled against any member of the Design-build Entity over the last five (5) years. For the purposes of this sub clause, only violations by a Design-build Entity member as an employer shall be deemed applicable, unless it is shown that the Design-build Entity member, in his or her capacity as an employer, had knowledge of his or her subcontractor's violations or failed to comply with the conditions set forth in subdivision (b) of Section 1775 of the Labor Code.

If the requested information is not provided, then the Design-build Entity will receive a score of **Fail** for this question. (A maximum of 10 points will be awarded based upon the Design-build Entity's response.)

- 6.5 Provide information and details that the Design-build Entity or its members, any officer of the Design-build Entity or its members, or any employee of the Design-build Entity or its members who has a proprietary interest in the Design-build Entity, has ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation. (Public Contract Code 10162)

If the requested information is not provided, then the Design-build Entity will receive a score of **Fail** for this question. (A maximum of 10 points will be awarded based upon the Design-build Entity's response.)

- 6.6 Violations of the Contractor's State License Law (Chapter 9 (commencing with Section 7000) of Division 3 of the Business and Professions Code), excluding alleged violations or complaints.

If the requested information is not provided, then the Design-build Entity will receive a score of **Fail** for this question. (A maximum of 10 points will be awarded based upon the Design-build Entity's response.)

- 6.7 Any conviction of any member of the Design-build Entity of submitting a false or fraudulent claim to a public agency over the last ten (10) years.

If the requested information is not provided or if any member of the Design-build Entity was convicted, then the Design-build Entity will receive a score of **Fail** for this question.

- 6.8 Provide a declaration that the Design-build Entity will comply with all provisions of law applicable to the Project and a commitment that the Design-build Entity and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project that falls within an apprentice-able occupation in the building and construction trades in accordance with the Public Contract Code, Division 2, Part 1, Chapter 2.9, sections 2600 et seq.

If the requested declaration and commitment is not provided, then the Design-build Entity will receive a score of **Fail** for this question.

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7. **Safety Record:** Submission of evidence that establishes the Design-build Entity has an acceptable safety record. An acceptable safety record is when its experience modification rating (EMR) for the most recent three (3) year period is an average of 1.00 or less, and its average total recordable injury or illness rate and average lost work rate for the most recent three (3) year period does not exceed the applicable statistical standards for the construction industry. See Part A – Questionnaire for specific requirements.

If the Design-build Entity's experience modification rating (EMR) for the most recent three (3) year period exceeds an average of 1.00, then the Design-build Entity will receive a score of **Fail** for this question. (A maximum of 100 points will be awarded based upon the Design-build Entity's response.)

The Director of the Department of General Services, when requested by the Design-build Entity, shall hold in confidence any information required by items 3 to 6.8, above.

In the event a Design-build Entity receives the score of **Fail** for any one question, then the Design-build Entity shall be deemed to have failed Part A and the Design-build Entity's SOQ will not be further evaluated.

PART B – EXPERIENCE

The Design Build Entity and its team members' experience will be evaluated by the SOQ Evaluation Team and allocated points for the relative merit of written data and responses to the following sections. **A total of 950 points apply to Part B - Experience**, as follows:

1. **Design-build Team: (300 Points)**
 - a. Organization
 - b. Firm Profiles
2. **Personnel Resumes: (300 Points, including Points for DBIA Certifications shown below.)**
 - a. Key Design-build Entity Management Personnel Resumes
 - b. Key Design Team Personnel Resumes
 - c. Key Construction Team Personnel Resumes
3. **Project Profiles: (100 Points)**
 - a. Design Experience
 - b. Construction Experience
4. **Project References: (50 Points)**

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5. Project Approach: (200 Points)

The information provided must clearly identify the relevance of each example in regard to the requirements of the project. The Design-build Entity should provide specific project-related experience and individual team member histories in design-build delivery, relevance of size, scope, complexity, and energy efficient and sustainable design. In order for a project to qualify as relevant, the information submitted must demonstrate that the teams or the individual team member's involvement with the project began at the initial stages and extended through completion and acceptance of the project by the owner. Provide examples of projects the team members have previously completed together.

Submit evidence which establishes the Design-build Entity and the other Design-build Team members have completed, or demonstrate the capability to complete, projects of similar size, scope, or complexity, and that proposed key personnel have sufficient experience and training to competently manage and complete the design and construction of the Project.

Firm Profiles, Personnel Resumes, and Project Profiles must clearly identify the relevance of specific project experience to the requirements of the proposed Project.

Project experience is not required to be only from the design and construction of public projects.

The SOQ evaluation process will consider and score the following:

1 – Design-build Team

- a. **Organization** - Provide an organizational chart demonstrating the proposed make-up of the major participants in the Design-build Team. The organizational chart assists the evaluators in understanding how the team envisions working together. In addition, describe the teaming relationships within the Design-build Team (Project Architects/Designers and General Contractor) for whom similar projects have been completed together. Describe how team members have directed or participated in projects with strong team organizations, clear lines of authority and hierarchy. Provide information on how the schedules, budgets and quality have been maintained throughout the project. Indicate how communication between the various team members and the owner was managed to ensure all project requirements were addressed and met. (The RFP process will include the development of a detailed Management Plan.)

If the Design-build Entity is a privately held corporation, Limited Liability Company, partnership, or joint venture, a listing of all of the shareholders, partners, or members who will perform work on the project known at the time of submission shall be provided. In addition, if the proposed Design-build Entity is a corporation, Limited Liability Company, partnership, joint venture, or other legal entity, a copy of the organization documents or agreement committing to form the organization shall be submitted with the SOQ.

The information provided shall be certified under penalty of perjury by the Design-build Entity and its general partners or joint venture members. See PART A – QUESTIONNAIRE, item 1, Declaration, above for certification.

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The organization will be scored based upon its clarity in identifying the key team members and firms, and in describing the relationships between the team members on projects of similar size, scope, and complexity.

- b. **Firm Profiles** - For each Design-build Team member listed in **Part A, Section 2 – Design-build Team**, submit firm profiles providing evidence that establishes the firm has completed or demonstrates that the firm has the capability to complete projects of similar size, scope, and complexity to the proposed Project, including experience with Essential Services facilities under the Essential Services Act or Field Act projects and the design-build delivery method. Include firm's history, significant accomplishments, and professional philosophy. The profile for the Design-build Entity shall be limited to fifteen (15) pages. The profiles for other Design-build Team members shall be limited to two (2) pages for each profile.

2 – Personnel Resumes

Submit resumes of key personnel who will be assigned to this Project and who will contribute a significant effort. Each resume must include name, qualifications, and the anticipated degree of involvement during each of the design and construction phases of the Project. The resumes should provide evidence that the key personnel have sufficient experience and training to competently manage and complete the design and construction of the Project. Personnel resumes shall be limited to two (2) pages in length for each resume. Submit the following resumes:

- a. **Key Design-build Entity Management Personnel Resumes:** Key personnel include: Project Executive, Design Principal, Construction Principal, and any other management team leaders with key responsibility for the **day-to-day execution of the Project**. A maximum of **25 total points** will be awarded if Key Design-build Entity Management Personnel demonstrate current DBIA certifications. (A Certification is proof that personnel passed the DBIA certification exam.)
- b. **Key Design Team Personnel Resumes:** Key personnel include professionals who will be assigned to this Project to provide quality control and design leadership in Architecture, Mechanical, Structural, Electrical, Security Electronics, Civil, Geotechnical and Landscape Architecture. Identify other specialty design consultants providing expertise such as Automotive Equipment, Fueling Systems, Low Voltage/Communications, Communication Towers (**DSA and structural design experience including Field Act projects**), Energy Efficiency/Sustainable (LEED™) design or any other notable design consultant(s). A maximum of **25 total points** will be awarded if Key Design Team Personnel demonstrate current DBIA certifications. (A Certification is proof that personnel passed the DBIA certification exam.)
- c. **Key Construction Team Personnel Resumes:** Key personnel include professionals from the General Contractor who will be assigned to this Project to provide schedule, quality control, safety, and construction leadership in general. **The Design-build Entity shall include two (2) key subcontractors they plan to use for:**
 - a. Warm-air heating, ventilating and air conditioning (C-20)
 - b. Electrical (C-10).

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Design-build Entities are encouraged to submit additional resumes as necessary to fully communicate any special knowledge or capabilities to be provided by the team.

3 – Projects Profiles

Each Design-build Entity shall provide design and construction project profiles for a maximum of ten (10) projects completed within the last ten (10) years. Clearly identify the relevance of each project, addressing relevance to the proposed project. Projects should demonstrate the team's design and construction experience on similar projects in terms of new construction, similar size, scope, complexity, including experience in Essential Services facilities under the Essential Services Act, Field Act Projects, and design-build experience.

For each project, complete and submit the Project Profile Information Sheet provided in attached **Part B – Experience**. Additional information, photos and other graphic materials may be included. Include a narrative addressing the design/construction philosophy and salient features for each project, as well as a brief statement indicating the relevance of the project to this Project. Project profiles are to be limited to three (3) pages total per submitted project. When possible, indicate the degree of involvement by key personnel proposed in this SOQ for each Project Profile.

Submit the following project profiles:

- a. **Design Experience:** Submit a portfolio of project profiles representative of the Architect's ability to provide a high level of quality on similar projects. Describe how your team separates itself from the competition. Submit project profiles for a maximum of five (5) projects completed in the last ten (10) years. List at least two (2) projects with a construction cost each in excess of \$37 million dollars (at least one must be in California). List at least **two (2) projects** using the **Design-Build delivery method**, (at least one of which must be in California). Use forms provided herein.

Provide examples of projects completed and describe the design approach. Include information that addresses the architect's ability to design a project demonstrating:

1. Design of projects of similar nature and complexity.
2. Essential Services facilities that emphasize security and safety.
3. Field Act projects that emphasize knowledge of structural designs as submitted to DSA.
4. A commitment to energy efficiency, sustainability, and environmental sensitivity, including projects completed that meet USGBC criteria for LEED Silver or above. Provide a list of projects and indicate LEED certifications achieved.
5. Efficient operations which are flexible and functional for future needs.
6. Designs that achieve community goals, successfully relate to planned and existing development.

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7. Sensitivity to the local context of social, cultural, environmental and built influences of the surrounding community.
 8. Awards/Recognition – Provide information regarding any national, regional, or local awards or recognitions received for exceptional design in architecture and/or sustainability.
- b. **Construction Experience:** Submit a portfolio of project profiles representative of the General Contractor's ability to construct with a high level of quality on their projects. Submit a description with a maximum of five (5) construction projects completed in the last ten (10) years by the General Contractor. List at least two (2) projects with a construction cost each in excess of \$37 million dollars (at least one must be in California). List at least **two (2) projects** using the **Design-build delivery method**, (at least one of which must be in California). Use forms provided herein.

4 – Project References

Provide Project References for each project submitted for Section 3 – Projects Profiles above. The reference should be from the project's owner or the project owner's representative. Each reference must include at least the following information: Project name, firm, or agency name, contact name, contact's title, phone number, e-mail address and relationship to the project.

EVALUATION PROCESS

The State intends to contact those individuals and firms that are listed as references by the Design-build Entity and points will be assigned based upon the reference's verification that the Design-build Entity's characterization of its involvement in the project is accurate, and their overall assessment of the quality of those services provided, specifically addressing: project management, cost and schedule control, quality and warranty call-backs, commissioning, functioning of installed equipment, and overall client satisfaction. It is the Design-build Entity's responsibility to verify that all references listed can be reached by telephone. **If a reference cannot be located based upon the information provided by the Design-build Entity, then the State will not consider the listed project.**

CONFIDENTIALITY

The State reserves the right to maintain the confidentiality of the past performance information provided by the references listed by the Design-build Entity, as well as references obtained by other means. By submitting a proposal for consideration under this solicitation, the Design-build Entity agrees that it shall not seek to discover from any source the contents of such communications.

5 – Project Approach

Provide the Design-build Entity's approach to addressing Design-build projects including the philosophy and approach to the design of Essential Service facilities and Field Act projects if applicable in California. This section is limited to three (3) pages. The Design-build Entity shall

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also demonstrate its understanding of Design-build projects by identifying those features that are critical to Design-build projects, such as fueling systems, automotive facilities, security electronics and communication systems, and communication towers, with a description of how those features have been addressed to ensure successful projects.

Points will be allocated based upon the Design-build Entity's response to the following items:

1. How will the Design-build Entity ensure the design will be in conformance with the Design-build Agreement and fulfill a high level of design quality and functionality for the project owner? Include documentation illustrating how the Design-build Entity minimized design errors and omissions in the construction documents.
2. How will the Design-build Entity ensure a high level of quality and that the intent of the design is fulfilled during construction? Describe the team member's philosophy to producing quality buildings and the approach to quality control. Provide information on the approach to minimizing warranty callbacks and provide documentation to attest to the success of this approach. Describe how coordination was achieved between design disciplines and how it was communicated to the construction team.
3. How has the Design-build Entity limited the impact on adjacent neighborhoods and ensured good relations with the community?
4. How has the Design-build Entity addressed safety and security during construction?
5. Provide a narrative demonstrating experience and successful partnerships with the Authorities Having Jurisdiction (AHJ), such as the State Fire Marshal (SFM), Division of the State Architect (DSA), Federal Communication Commission (FCC), Federal Aviation Administration (FAA), and California Geological Survey (CGS).
6. How will the Design-build Entity ensure Essential Services or other facilities will be designed for durability, maintainability, sustainability, and will function with superior energy efficiency and reliability?
7. Describe the Design-build Entity's approach to collaboration with all stakeholders during the design-build process.

PART C – INTERVIEW

No more than the five (5) highest scoring Design-build Entities based upon the number of points scored from Parts A and B will be interviewed by a selection committee to further evaluate their qualifications. A total of 500 points will be allocated for Part C – Interview.

The interview will provide the opportunity to clarify and elaborate on the written material previously submitted in the SOQ package and to give the Selection Committee the opportunity to meet key personnel. Key personnel from the Design-build Entity; the General Contractor, the Architect, and Structural engineer must attend the interview. Additional personnel from the Design-build Team may attend the interview as determined by the Design-build Entity.

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The State will provide additional interview details including the interview scoring criteria in the invitation to interview.

PART D – SELECTION

No more than the three (3) highest scoring Design-build Entities from the RFQ process will be invited to participate in the RFP Phase and submit Project Proposals. The scoring will be based upon the total points (**1,600 total points**) accumulated from the scoring of Parts A, B and C.

STEP 2 – REQUEST FOR PROPOSAL

The State intends to enter into a Project Proposal Agreement with each of the three (3) Design-build Entities selected to submit Project Proposals during the RFP Phase. In return for submission of a Project Proposal acceptable to the State, the State will pay each Design-build Entity **that is not awarded** the Design-build Agreement a payment in the amount of **\$40,000** (forty thousand dollars) for the Project Proposal. In the event a Design-build Agreement is not awarded, all Design-build Entities that have submitted responsive proposals will be eligible for a payment. The Design-build Entity which is awarded the Design-build Agreement will not be eligible to receive the payment of \$40,000.

The Design-build Entities must attend and submit signed copies of the Project Proposal Agreement no later than at the Mandatory RFP Briefing Conference. Should one or more of the selected Design-build Entities decline to execute the Project Proposal Agreement, the State reserves the right to invite the next highest scoring Design-build Entity or Entities from the RFQ process to participate in the RFP phase. Instructions for the RFP process will be discussed at the briefing conference.

PART A – PROJECT PROPOSAL

The Project Proposals shall be responsive to the requirements set forth in the RFP and include but not be limited to the following:

1. **A Declaration.** A declaration that the Design-build Entity will complete the design and construct the Project for the sum provided for by the State in the Project Proposal Agreement.
2. **Designated Subcontractors.** The State may ask the Design-build Entity to include identified subcontractors as part of their proposal. The Design-build Entity may name subcontractors in addition to those designated by the State. All other subcontractors shall be competitively bid and awarded by the Design-build Entity in accordance with Public Contract Code, Division 2, Part 2, Chapter 1, Article 3, Sections 10140 et. seq. and Article 6, Section 10193.
3. **Proposed Design, Major Elements and Systems Description.** A description and conceptual drawings for the Project's design and major systems. Submittal requirements may include, without limitation, the following:
 - a. Design and program narratives.

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- b. Conceptual site plans, floor plans, building elevations.
 - c. Conceptual landscaping plans and landscaping design philosophy.
 - c. Presentation boards: 36” x 48” maximum size and/or electronic presentation (Required submittals will be described in the RFP).
 - d. Sustainable and environmental design considerations related to building design and systems.
4. **Draft Project Management Plan.** A Draft Project Management Plan, including but not limited to:
- a. Design-build Entity’s Organization and Authority
 - b. Scheduling/Phasing Plan
 - c. Project Procedures Manual
 - d. Electronic Communications
 - e. Meetings and Conferences
 - f. Site Requirements
 - g. Waste Management Plan
 - h. Construction Traffic Management Plan
 - i. Tree Management Plan, (as applicable)
 - j. Quality Assurance/Quality Control Plan
 - k. Safety Plan and Manual
 - l. Monthly Reports
 - m. Record Documents
 - n. Close-out and Commissioning Plan
 - o. Sensitivity and responsiveness to specific site environmental considerations (climate, site constraints, regional influences, etc.), and long term maintenance/operation or life cycle costs related to the design concept presented.
5. **Preliminary Schedule:** The name and professional history of the person designated by the Design-build Entity as its authorized schedule representative. Submit a preliminary schedule

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for the work, “Proposed Project Schedule”, in bar chart form, incorporating all critical milestones and events identified by the State or known to the Design-build Entity, as well as the date for the Agreement completion provided in the RFP.

6. **Small Business/DVBE Utilization Plan.** The Small Business/DVBE Utilization Plan must outline the process that each Design-build Entity will use to achieve these goals after award of the Agreement.
7. **An electronic copy of all Project Proposal materials in “PDF” format on flash drive.**

Project Proposals will be evaluated by a Project Proposal Evaluation Team comprised of State representatives with technical assistance from the State’s consultants. After receipt of the proposals and during the Project Proposal evaluation it may become necessary for the Project Proposal Evaluation Team to issue Requests for Clarification to the Design-build Entities. These requests may be necessary to enable the evaluators to best understand the Design-build Entity’s response(s). The Request for Clarification will be in the form of a written request issued by the Project Proposal Evaluation Team prior to the Proposal Interview and/or a verbal request at the time of the Proposal Interview.

PART B – PROPOSAL INTERVIEW

The Selection Committee will then conduct individual interviews with the Design-build Entities. The purpose of this interview is to confirm information provided in Project Proposals submitted by the Design-build Entities. This may also be another opportunity for Project Proposal Evaluators to request additional clarification. In these interviews, the Design-build Entity and its team of contractors, architects, engineers, and specialty consultants may expand on the information provided in their Project Proposal and shall respond to questions from the Selection Committee. Each Design-build Entity shall have their proposed key personnel assigned to the Project present as the primary representatives during this process.

Design-build Entities will be judged on the quality of the project they propose with special emphasis on Essential Services facilities, Field Act projects, sustainability, energy conservation, quality of workplace environment, long-term economic benefit due to each system, compliance with Project scope, cost and schedule, and the **Best Value** for the State.

Presentation materials may include:

- Presentation boards (36” x 48” maximum size; not to exceed 5 in quantity).
- Electronic presentation materials as required to thoroughly describe the Proposal.
- A “Fly-Through” of the proposed project showing exterior and interior spaces and elevations.
- Presentation binders including copies of presentation materials (not more than 10 binders).
- An electronic copy of all Project Proposal Presentation materials on a flash drive in Adobe PDF format.

PART C – SELECTION

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The Selection Committee will review and evaluate each Design-build Entity's Project Proposal, interview scores, and the Project Proposal Evaluation Teams' scores and recommendations. Scoring from the RFQ process will not be considered in the RFP process. The Selection Committee will arrive at a consensus selection of the Design-build Entity which is judged by the State as providing the **Best Value** to the State in meeting or exceeding the objectives of the Project.

STEP 3 – DESIGN-BUILD AGREEMENT

The State intends to award an Agreement to complete the design and construction of the Project to the Design-build Entity whose proposal is judged as providing the **Best Value** in meeting the interest of the State and meeting the objectives of the Project. The State reserves the right to not award an Agreement.

The Design-build Agreement award is currently anticipated to occur in the summer 2023.

The successful Design-build Entity will be responsible for completing the design, producing the construction documents, acquiring all applicable AHJ approvals and constructing the Project subject to the criteria set forth in the RFP documents. The successful Design-build Entity's architect will become the Architect of Record and will be solely responsible for the development and completion of the construction documents, including all regulatory reviews and approvals.

STEP 4 – PROJECT COMPLETION

Completion is expected within thirty (30) months of the Design-build Agreement's associated Notice to Proceed.

EXHIBIT A

**DEPARTMENT OF GENERAL SERVICES
CALIFORNIA HIGHWAY PATROL – QUINCY REPLACEMENT FACILITY
QUINCY, CALIFORNIA**

PROJECT NO. DGS000000002729

**APPLICATION FOR QUALIFICATION FOR
DESIGN-BUILD ENTITIES**

DESIGN-BUILD ENTITY'S PROPOSAL MANAGER

Name of Design-build Entity:

Design-build Entity's Proposal Manager:

Name: _____

Title: _____

Firm/Company: _____

Address: _____

Telephone No.: _____

Email Address: _____

Email this form to:

**Department of General Services
Office of Business and Acquisition Services Attention:**
Anton Snytkin, Acquisition Analyst,
Email: anton.snytkin@dgs.ca.gov

Note: Any substitution of Design-build Entity's Proposal Manager for the RFQ and RFP process shall be made in writing to and approved by the State and shall subject the DB Entity to re-evaluation. Submit written request to the above email address.